

12-17-01

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10/30/01
JC678 U.S. PTO

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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P1292D1
First Inventor KIM
Title Laser Pump Module with Reduced Tracking Error
Express Mail Label No. EL756472699US

10/30/01
JC632 U.S. PTO
10/016174

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 31]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
- Oath or Declaration [Total Pages 39]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☒ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Formal Drawings
- ☒ Other: Letter to Official Draftperson...

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09 / 915 , 184

Prior application information: Examiner Unassigned

Group Art Unit: 2581

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name

022849

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Scott W. Hewett

Registration No. (Attorney/Agent)

41 , 836

Signature

Date

10/30/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 740.00

Complete if Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	KIM
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	P1292D1

JC832 U.S. PTO
10/016174
10/30/01

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0891
Deposit Account Name Scott W. Hewett

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$ 740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims - 20** = X =
Independent Claims - 3** = X =
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Scott W. Hewett	Registration No. (Attorney/Agent)	41, 836	Telephone	707/591-0789
Signature		Date	10/30/2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT
Attorney Docket No. P1292D1

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

On Oct. 30, 2001

By Scott Hewett
SCOTT HEWETT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: KIM et al.

Application No.: unassigned

Filed: herewith

For: LASER PUMP MODULE WITH
REDUCED TRACKING ERROR

Examiner: unassigned

Art Unit: unassigned

LETTER TO THE OFFICIAL
DRAFTSPERSON

Box PATENT APPLICATION
The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed are twelve 8½" by 11" -size sheets of formal drawings to be entered in the
above-referenced U.S. patent application, pending approval by the Examiner for the proposed
amendments to Figs. 4B and 8B, as indicated in the enclosed Preliminary Amendment. Each of
the sheets of formal drawings include identifying indicia in the center of the top margin of the
drawing.

Respectfully submitted,

Scott Hewett
Scott Hewett
Reg. No. 41, 836

Scott Hewett
Patent Attorney
400 West Third Street, No. 223
Santa Rosa, CA 95401
Tel: (707) 591-0789
Fax: (707) 591-0392

10016174-103001

Amended
SHEETS
for

I hereby certify that this correspondence
is being sent via United States Postal Service
Express Mail Post Office to Address,
Label No. EL756472699US to:

PATENT
Attorney Docket No. P1292D1

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

On Oct. 30, 2001

By Scott Hewett
Scott Hewett



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: KIM et al.

Application No.: unassigned

Filed: Herewith

For: LASER PUMP MODULE WITH
REDUCED TRACKING ERROR

Examiner: unassigned

Art Unit: unassigned

STATEMENT DELETING
INVENTORS UNDER
37 CFR 1.63(b)(2)

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This application is a division of U.S. App. Serial No. 09/915,184
entitled TRACKING ERROR SUPPRESSION AND METHOD OF REDUCING
TRACKING ERROR by Wolak et al., filed July 24, 2001 and assigned to Art Unit 2881,
and includes copies of the Declarations signed in that application. The undersigned
respectfully requests that Jo Major and Harrison Ransom, both of who signed the
Declaration for the parent application (serial no. 09/915,184) be deleted as inventors from
the instant application.

Respectfully submitted,

Scott W. Hewett
Scott W. Hewett
Reg. No. 41,836

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